EPIDEMIOLOGY AND SURVIVAL ANALYSIS OF MOUTH AND OROPHARYNGEAL CANCER PATIENTS TREATED AT A PUBLIC HOSPITAL IN RIO DE JANEIRO, BRAZIL.

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Mouth and oropharyngeal cancers (CAs) are considered to be global public health problems, with high psychosocial impacts and high costs. Developing an epidemiological profile and examining the survival rate among patients with CAs of the mouth and oropharynx are important for the development of public policy. Therefore, this retrospective study aimed to evaluate mouth and oropharyngeal CA patients treated at the Municipal Hospital Salgado Filho in Rio de Janeiro, Brazil between 2007 and 2017. Patient data regarding gender, age, ethnicity, diagnosis, anatomical site affected by the tumor, and habits were obtained from patient records and reports, and data regarding the deaths of patients were obtained from records and the Mortality Information System. After tabulation, data were descriptively analyzed using the Kaplan-Meier survival curve, and the log-rank test was used for the comparison of variables. Survival rates at 5 and 8 years were 71.1% and 48.8%, respectively. There were no significant differences among survival rates according to age, sex, ethnicity, or the anatomical location of tumors. The survival rates among mouth and oropharyngeal CA patients in this study were comparable with the results reported in developed countries.

Keywords: Oral neoplasms, epidemiology, survival rate;

INTRODUCTION

Mouth cancer (CA), also known as lip CA and oral CA, covers a set of malignancies that affect the lips, gums, cheeks, hard palate, tongue, and mouth floor. Oropharyngeal CA affects the posterior part of the tongue, tonsils and soft palate, with different oral cavity CA behaviors and different etiologies and histopathological aspects from those associated with mouth CA (INCA, 2019). Mouth and oropharyngeal CAs are considered to be serious global public health problems, due to their high incidences and prevalences, high psychosocial impacts and the high costs of treatment (BOING, AF & ANTUNES, JLF, 2011).

In Brazil, according to the National Cancer Institute (INCA), the estimated number of cases of mouth CA during 2018-2019 was 14,700, including 11,200 men and 3,500 women, making mouth CA the fourth most commonly occurring CA type. These values correspond to estimated risks of 10.86 new cases per 100,000 men and 3.28 per

100,000 women. In 2015, there were 4,672 deaths from oral cavity CA in men and 1,226 deaths in women (INCA, 2019).

Squamous cell carcinoma (SCC), also called spinocellular or epidermoid carcinoma, is the most common malignant neoplasm in the mouth and oropharynx, representing 80–90% of all oral cavity CA cases. SCC is most frequently found on the posterior lateral border of the tongue and on the floor of the mouth in adult male patients over 40 years of age but may also occur in other, less frequently involved sites, such as the soft palate, gingiva, jugal mucosa, labial mucosa, and hard palate (NEVILLE, 2016).

The etiology of oral cavity CA is multifactorial, including both intrinsic and extrinsic factors. However, tobacco and alcohol use represent the most important etiological factors; although isolated alcohol consumption cannot be considered a carcinogenic factor, the combination of tobacco and alcohol use represents a major risk for oral cavity CA (ANDRADE, J, *et al.*, 2015). In addition, studies by Sobral *et al.* (2014) have demonstrated a close relationship between HPV and oral cavity CAs, revealing an important role played by HPV in the pathogenesis of oral cavity CAs.

The clinical presentations of oral cavity CAs vary, ranging from simple leukoplakia lesions to persistent ulcers, which occur more frequently. Pain is generally absent or minimal during the early stages, which may justify delaying medical attention and, consequently, result in worse prognoses (NEVILLE, 2016).

Early diagnosis is easy, but the silent nature of the lesions and late identification, due to delays in the acquisition of health services, often lead to the lesions reaching advances stages. Early diagnosis, rapid referral and access to specialized services are important factors in reducing the morbidity and mortality associated with these CAs, resulting in the use of more conservative treatments and contributing to improvements in survival rates (SOUZA TORRES, SV, *et al.*, 2016).

The importance of epidemiological studies is justified by the large lethality index. Epidemiological studies can facilitate the characterization of populations at risk, and provide the necessary information for optimizing and defining public health policies aimed at oral cavity CA prevention, protection and treatment (CASOTTI, E, *et al.*, 2016).

The objective of this study was to establish an epidemiological profile and determine the survival rates for patients with CA of the mouth and oropharynx, as diagnosed by biopsies and anatomopathological examinations, that were treated at the

Salgado Filho Municipal Hospital (HMSF) in Rio de Janeiro, Brazil, between 2007 and 2017.

METHODS

A retrospective study was performed between 2007 and 2017, through the analysis of medical records and reports for patients who were diagnosed with mouth and oropharyngeal CA following a histopathological examination performed at Salgado Filho Municipal Hospital, which is a public and reference hospital, located in the municipality of Rio de Janeiro, Brazil.

This study received was approved by the Committee of Ethics in Research (CER) with Human Beings of the Municipal Health Secretariat of Rio de Janeiro-SMS/RJ, under the number CAAE: 69625417.7.0000.5279, opinion no. 3,049,583. The study was performed by collecting data stored in medical records and files maintained by HMSF-RJ, with the aid of HospPub software and the digital information system used by the Department of Pathology at the referred hospital.

To understand the epidemiology of mouth and oropharyngeal CA, data regarding age, sex, ethnicity, habits, diagnosis, anatomical location, and place of residence, according to the area of the program (PA), were collected. Patients whose records were incomplete with regards to the studied variables and those who refused to participate in the study were excluded.

The variable of age was divided into categories by decade, while the variable of ethnicity was divided into the categories of white, brown and black and then dichotomized in whites and non-whites. The anatomical location of the tumor was considered according to the 2018 INCA classification guidelines (https://www.inca.gov.br/tipos-de-cancer-de-mouth), and the mortality data was obtained from medical records and the Mortality Information System (SIM) - DATASUS (Department of information technology of SUS)

Subsequently, the data were treated and analyzed descriptively using the Kaplan-Meier survival curve, from the date of diagnosis until the date of death or until the termination of the study, whichever occurred first. The log-rank test was used to compare the effects of age, ethnicity, sex and location of the tumor on survival. The program Statistical Package for Social Sciences (SPSS Inc., Chicago I, IL, EUA) version 21.0 was used.

RESULTS

We analyzed 173 medical records of patients diagnosed with CA of the mouth and oropharynx between 2007 and 2017, of which 156 presented complete data and were included in the study. Patients were 79.5% men and 20.5% women, with a mean age of 61 ± 12.5 years. Patients in their fifth decade of life were the most prevalent (35.3%), with the youngest patient being 22 years old and the oldest being 91 years old. With regards to ethnicity, the majority (52.7%) were non-white, with 40.0% brown and 12.7% black, and the remaining 47.3% were white. Of the patients included in the study, 86.5% lived in the municipality of Rio de Janeiro, while the remaining 13.5% lived elsewhere. (Table 1).

| Variables | Frequency | Percentage (%) |
|--------------------------------------|-----------|----------------|
| Sex | | |
| Female | 32 | 20,5 |
| Male | 124 | 79,5 |
| Ethnicity | | |
| White | 26 | 47,3 |
| No white brancos (brown and Black) | 29 | 52,7 |
| Age Group (years) | | |
| ≤49 | 21 | 13,5 |
| 50-59 | 55 | 35,3 |
| 60-69 | 42 | 26,9 |
| ≤70 | 38 | 24,4 |
| Mean age (years, standard deviation) | | |
| | 61 | 12,5 |
| City | | |
| Rio de Janeiro | 135 | 86,5 |
| Other cities | 21 | 3,5 |

Table 1. Characteristics of patients with CA of the mouth and oropharynx between 2007and 2017 in Rio de Janeiro, Brazil.

REVISTA FLUMINENSE DE ODONTOLOGIA – ANO XXVI – Nº 54 – Julho / Dezembro 2020 ISSN 1413-2966/ D-2316 Oral SCC was the most common CA type identified (89.0%), followed by adenocarcinoma (5.8%) (Table 2).

| Type of cancer | Troquonov | Percentage |
|---------------------------------|-----------|------------|
| | Frequency | (%) |
| Epidermoid /Skin/ Spinocellular | 137 | 89,0 |
| Basocellular | 3 | 1,9 |
| Adenocarcinoma | 9 | 5,8 |
| Kaposi | 1 | 0,6 |
| Sarcoma | 1 | 0,6 |
| Hodgkin's lymphoma | 3 | 1,9 |
| Total | 156 | 100 |

Table 2. Distribution of the most frequently identified types of malignant neoplasms in

 the oral cavity between 2007 and 2017 in Rio de Janeiro, Brazil.

With regards to the anatomical locations of CAs, malignant neoplasms of the mouth (79.5%) were more prevalent than those of the oropharynx, and the lingual region (36.3%) was the most commonly affected, including the lateral portion (24.2%) and the floor (16.%), followed by the alveolar ridge (12.7%). The intraoral region was affected in 89.9% of the CAs, with 21.2% of cases occurring on the right side, 23.1% of cases occurring on the left side and 3.2% of cases occurring bilaterally (Table 3).

Table 3. Distribution of the anatomical locations of malignant neoplasms, categorized by intra- and extraoral localization and right and left sides, in Rio de Janeiro, Brazil, from 2007 to 2017.

| Anatomical location | Frequency | Percentage (%) |
|---------------------|-----------|----------------|
| Mouth | 124 | 79,5 |
| lateral tongue | 36 | 24,2 |
| Back of tongue | 13 | 8,7 |
| Belly of tongue | 4 | 2,7 |
| Alveolar ridge | 19 | 12,8 |

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| Floor | 24 | 16,0 |
|--------------------------|-----|------|
| Lip | 4 | 2,7 |
| Gum | 2 | 1,3 |
| Hard palate | 8 | 5,4 |
| Oral mucosa | 14 | 9,4 |
| Oropharyngeal | 20 | 13,4 |
| Base of tongue | 1 | 0,7 |
| Amygdala | 4 | 2,7 |
| Soft palate | 15 | 10,0 |
| Other parts of the mouth | 5 | 3,4 |
| Intra Oral | 134 | 89,9 |
| Extra Oral | 15 | 10,1 |
| Both | 1 | 0,6 |
| Right side | 32 | 43,8 |
| Left side | 35 | 48,0 |
| Bilateral | 6 | 8,2 |
| | | |

With regards to alcohol consumption, 56.5% of the patients were chronic drinkers, 88.4% were smokers and 57.4% consumed both alcoholic beverages and cigarettes (Table 4).

Table 4. Distribution of Malignant Neoplasms of mouth and oropharynx according to consumption of alcoholic beverage and tobacco. Rio de Janeiro, Brazil, from 2007 to 2017.

| Habit | Frequency | Percentage (%) |
|-------------|-----------|----------------|
| Alcohol | 39 | 56,5 |
| Smoke | 61 | 88,4 |
| Concomitant | 39 | 57,4 |

Among the patients with mouth and oropharyngeal CA, 51.5% of patients died within 8.4 years (101 months) from the time of diagnosis. The mean survival time was 72.0 months, which is equivalent to 6 years (95% CI 64.22-79.955), with a mean survival time for men of 69.4 months (5 years and 7 months) and a mean survival time for women

of 82.3 (6 years and 8 months) (Table 5). The survival rates at 5 and 8 years were 61.3% and 48.5%, respectively (Figure 1).

Table 5. Distribution of survival times among patients with CAs in the mouth andoropharynx, according to sex, in Rio de Janeiro, Brazil, from 2007 to 2017.

| Time to Survive | Months | Years |
|-----------------|--------|-------|
| Male | 69,4 | 5,7 |
| Female | 82,3 | 6,8 |
| General | 72,0 | 6,0 |

Figure 1. Kaplan-Meier analysis for survival of patients with oral cancer. Rio de Janeiro, Brazil, from 2007 to 2017.



Comparisons among age, sex, ethnicity, and anatomical location of the CA showed no significant differences for survival times; however, survival times were shorter for patients in their seventh decade of life (67.592 months) and longer for patients in their fifth decade of life (74.207) (p = 0.169), It was lower in males (69.671 months), when compared to females (79.676 months) (p = 0.25),. In addition, survival times were shorter in non-white patients (47.9 months) than in white patients (72.2 months) (p = 0.86) and

were longer for patients with mouth CA (71.6 months) than for patients with CA of the oropharynx (68.8 months) (p = 0.792 (Table 6).

Table 6. Distribution of survival time of patients with malignant neoplasms in themouth and oropharynx according to age, ethnicity, sex and location according to LogRank (Mantel-Cox) analysis. Rio de Janeiro, Brazil, from 2007 to 2017

| Variables | Estimates | \mathbf{D} volume (0.05) |
|---------------|-----------|----------------------------|
| | (months) | P value (0.05) |
| Age | | |
| \leq 49 | 71,1 | |
| 50-59 | 74,2 | 0,169 |
| 60-69 | 70,5 | |
| ≥ 70 | 67,5 | |
| Ethnicity | | |
| White | 72,2 | 0,086 |
| No White | 47,9 | |
| Sex | | |
| Male | 69,6 | 0,250 |
| Female | 79,6 | |
| Location | | |
| Mouth | 71,6 | 0,792 |
| Oropharyngeal | 68,8 | |

DISCUSSION

Mouth and oropharyngeal CA remain challenging for the scientific community due to their high prevalences and mortalities, their low survival rates and the large impacts they have on the quality of life of all involved, which make these CAs global public health problems (WAMAKULASURIYA, S, 2010; BOING, AF & ANTUNES, JLF, 2011; SANTOS, IV, *et al.*, 2011; OMS, 2019).

In the present study, we evaluated patients with mouth and oropharyngeal CA who were treated at the Salgado Filho Municipal Public Hospital, located in Méier, Rio de Janeiro, Brazil, from 2007 to 2017, and we developed an epidemiological profile of these patients and analyzed their survival rates. Most of these patients came from the city of Rio de Janeiro, which demonstrates the importance of the service to the affiliated population.

The results of current study revealed that mouth and oropharyngeal CAs are more prevalent in men than in women, which is in line with the results reported by Le Campion *et al.* (2017) and López-Soto *et al.* (2018). This increased prevalence may be due to behavioral factors, as men are more exposed to more risk factors than women. However, studies performed by Gérvasio *et al.* (2001) and Emanuele and Fontanella (2009) show that the prevalence is increasing among women because they are consuming more alcohol and tobacco.

Mansour, S, *et al.* (2003) reported results similar to those of present study with regards to age. The patients in their study had a mean age of 61.2 ± 13.3 years, with the fifth decade of life being the most prevalent, which is in line with the results reported by Neves *et al.*, (2014) and Moro *et al.* (2018). Schneider *et al.* (2014) and Fernandes and Fraga (2019) also observed an increased risk of developing CA with increasing age, likely due to the comorbidities associated with individuals in advanced age groups and increased exposure to risk factors, which is in line with the data obtained in the present study. Peralta *et al.* (2017) considered the elderly to be at high risk for the development of neoplasms. Gilyoma *et al.* (2015) reported a younger age trend, with a mean age of 42 years in their study.

Regarding ethnicity, the present study presented similar results for whites, browns and blacks; however, when the study sample was dichotomized into whites and non-white, a higher frequency was observed for non-whites compared with whites, which is similar to the findings of Le Campion *et al.* (2016), Neves *et al.* (2017) and López-Soto *et al.* (2018). However, Perez *et al.* (2007) and Moro *et al.* (2018) reported different results, and these discrepancies are likely due to the different geographic regions of the studies.

Our study found that oral SCC was the most prevalent type of CA lesion, similar to the results of other studies (LE CAMPION, ACOV, *et al.*, 2016; PERALTA, MM, *et al.*, 2017; MORO, JS, *et al.*, 2018). The lateral tongue was the most affected region, with no significance differences between the right and left sides, followed by the buccal floor, similar to what has been reported by Perez *et al.* (2007), Bonfante *et al.* (2014), Pontes *et*

al. (2014). Costa *et al.* (2002) studied a hospital in the city of Natal (RN) and report the lower lip region as the having the highest CA occurrence, likely due to the high solar radiation index to which the population of that region is exposed. In addition, Perez *et al.* (2007) reported the floor of the mouth as being the most prevalent region, followed by the lingual region.

This study showed that an isolated smoking habit, considered by Bittencourt *et al.* (2017) to be a public health problem, was the primarily risk factor identified for the occurrence of mouth and oropharyngeal tumors; however, the concomitant intake of alcoholic beverages and cigarettes also presented a high prevalence, which has also been well-established in the literature. Our study reinforces that alcohol consumption in conjunction with smoking should be a concern, as this association plays a strong role in increasing the risks of oral cavity CA (FARSHADPOUR, F, *et al.*, 2007; BECKEL, HD, *et al.*, 2013); however, Mansour *et al.* (2003) reported that the use of alcohol was more frequent when compared to smoking but that other factors, such as nutrition, cellular activity levels, access to medical care and comorbidities, may be related to the consumption of alcohol and/or cigarettes and should not be discarded.

According to Sobral *et al.* (2014) and Mourad *et al.* (2017) there is a close relationship between the human papillomavirus (HPV) and mouth and oropharyngeal CA; however, Soares and Pereira (2019) declared that there is no consensus in the literature on role played by HPV in the carcinogenesis of these CAs. In this study, it was not possible to analyze this relationship due to lack of information regarding HPV status in the medical records.

In our study, mouth CA occurred more frequently than oropharyngeal CA, a finding which is similar to those reported by Le Campion *et al.* (2017) and Moro *et al.* (2018). This result is likely due to the fact that the mouth is the first location to experience contact with tobacco and alcohol. In addition, this hospital has an active otorhinolaryngology service, which works in a multidisciplinary method with the stomatology service, and cases of oropharyngeal lesion can be referred to this hospital directly, without going through the dentistry service. However, studies by López-Soto *et al.* (2018) show that, although these types of lesions are less frequent, they are more complex lesions and are more often diagnosed during more advanced stages, due to the difficulty of visualization and access.

According to Warnakulasuriya (2010), in most countries, the overall five-year survival rates for CAs of the tongue, oral cavity and oropharynx are approximately 50-60%. The best result is for lip CA, with more than 90% of patients surviving for five years. In general, the prognosis decreases with increases in disease progression, age and lifestyle risks.

In the present study, the 5-year survival rate was similar to that reported by Bonfante *et al.* (2014) and López-Soto *et al.* (2018), who reported rates of 60% and 62.5%, respectively; however, our results were better than those reported by Schneider *et al.* (2014), of 33.3%, and Moro *et al.* (2018), of 42%. In addition, it was possible to estimate an overall survival rate of approximately 6 years for mouth CA (72 months). In a study by Moro *et al.* (2018), the mean survival time was 4 years. Our study also showed that, when categorizing the survival rate by the anatomical location of the tumor, those located in the oropharynx had shorter survival rates than those located in the mouth.

Hessel *et al.* (2000) found a 5-year overall survival rate of 68.6% for patients with SCC in the tongue, who were diagnosed at T1-2 and N0-1 and were treated at the University of Texas (Houston, USA), which is better than the survival rate found in the present study. The results reported by Hessel *et al.* can be explained by early diagnosis, rapid access to specialized services, and appropriate treatment.

According to Oliveira *et al.* (2008) the association reduced survival time and increased age is likely due to the presence of comorbidities and other complications typical of aging.

However, the data reported by Megwalu and Ma (2017) and De Souza Santos *et al.* (2018) demonstrate the significant influence of ethnicity on the survival of patients with oropharyngeal CA, likely due to precarious socioeconomic conditions that make access to treatment difficult and result in black patients suffering from reduced survival rates.

Malignant tumors in the mouth presented better survival rates than those of the oropharynx, as facilitated access contributes to earlier diagnoses and better treatment, which can both increase survival. In addition, oropharyngeal tumors are associated with cervical metastases, with an incidence of 50 to 70%, likely due to the presence of a rich lymphatic network, which favors the spread of the tumor (BECKER, 2000; DE SOUZA SANTOS *et al.*, 2018).

CONCLUSION

The patients treated at the Municipal Hospital Salgado Filho, in Rio de Janeiro, for mouth and oropharynx CA are most commonly male, non-white, in their fifth decade of life, and smokers. The most common CA type is an SCC tumor located on the lateral region of the tongue, on the left side. The survival rate found in this study was similar to those reported for developed countries; however, tumors located in the oropharynx had worse survival rates compared with those in the mouth.

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